



## Potential External Funding Sources for AHE

**Federal Funding – see also [http://www.avid.org/dl/resources/res\\_usdeptofedpostsecondaryinitiatives.pdf](http://www.avid.org/dl/resources/res_usdeptofedpostsecondaryinitiatives.pdf)**

Grant Program	Description	Alignment with AHE	SSI or TPI
<b>US Department of Education</b>			
<b>Title III Strengthening Institutions Program</b> (including Strengthening Historically Black, Predominantly Black, Alaskan & Hawaiian Native, Asian American, Native American-Serving)	The program helps eligible IHEs to become self-sufficient and expand their capacity to serve low-income students by providing funds to improve and strengthen the academic quality, institutional management, and fiscal stability of eligible institutions.	Institutions propose their own plan and focus so AHE SSI or TPI can be either the focus or one of the proposed activities.	SSI and TPI
<b>Title V Developing Hispanic-Serving Institutions</b>	Provides grants to assist <a href="#">HSIs</a> to expand educational opportunities for, and improve the attainment of, Hispanic students. The grants also enable HSIs to expand and enhance their academic offerings, program quality, and institutional stability.	Institutions propose their own plan and focus so AHE SSI or TPI can be either the focus or one of the proposed activities.	SSI and TPI
<b>Minority Science and Engineering Improvement Program</b>	This program assists predominantly minority institutions in effecting long-range improvement in science and engineering education programs and increasing the flow of underrepresented ethnic minorities, particularly minority women, into science and engineering careers.	Institutions design projects to meet the goals of the program. Some AHE strategies can be incorporated, but need to serve science and engineering students.	SSI
<b>TRIO Programs</b> Educational Opportunity Centers Ronald E. McNair Post Baccalaureate Achievement Student Support Services Talent Search Upward Bound Upward Bound Math/Science Veterans Upward Bound	Outreach and student services programs designed to identify and provide services for individuals from disadvantaged backgrounds. TRIO includes eight programs targeted to serve and assist low-income individuals, first-generation college students, and individuals with disabilities to progress through the academic pipeline from middle school to post baccalaureate programs.	Programs are restricted to small groups of eligible students so it is difficult to use them for campus-wide initiatives. There is a limited possibility of using these funds for student services on campus (particularly SSS)	SSI

<b>Teacher Quality Partnership (TQP) program</b>	TQP Grants Program seeks to improve the quality of new teachers by creating partnerships among IHEs, high-need school districts (local educational agencies (LEAs)) their high-need schools, and/or high-need early childhood education (ECE) program.	It's possible to integrate TPI into projects if all partners agree.	TPI
<b>FIPSE Comprehensive</b>	The program supports and disseminates innovative reform projects that promise to be models for improving the quality of postsecondary education and increasing student access.	Any innovative project can be proposed.	SSI and TPI
<b>National Science Foundation</b>			
<b>S-STEM</b>	This program makes grants to institutions of higher education to support scholarships for academically talented students demonstrating financial need, enabling them to enter the STEM workforce or STEM graduate school following completion of an associate, baccalaureate, or graduate-level degree in science, technology, engineering or mathematics disciplines.	Up to 10% of the total scholarship amount can be used for student support services/activities so limited support for AHE SSI is possible as long as it's focused on STEM students.	SSI
<b>Robert Noyce Teacher Scholarship Program</b>	Seeks to encourage talented science, technology, engineering, and mathematics majors and professionals to become K-12 mathematics and science teachers. It provides funds to institutions of higher education to support scholarships, stipends, and academic programs for undergraduate STEM majors and post-baccalaureate students holding STEM degrees who earn a teaching credential and commit to teaching in high-need K-12 school districts.	Up to 25% of the budget can be used for activities that contribute to effectiveness, such as recruitment, marketing, developing academic components, evaluation. It is possible to use it to implement TPI components on campus as long as they are focusing on STEM teacher preparation.	TPI
<b>Math and Science Partnership (MSP)</b>	The program is a major research and development effort that supports innovative partnerships to improve K-12 student achievement in mathematics and science. MSP projects are expected to raise the achievement levels of all students and significantly reduce achievement gaps in the STEM performance of diverse student populations. MSP supports two levels of Targeted Partnership awards, Implementation and	There is some potential for use for TPI as an innovative approach to train teachers to improve student achievement. Has to be focused on STEM.	TPI

	<p>Prototype. Implementation awards are intended to develop and put into practice innovative approaches and strategies in education. Prototype awards explore potentially innovative approaches and strategies.</p>		
<p><b>Science, Technology, Engineering, and Mathematics Talent Expansion Program (STEP)</b></p>	<p>Seeks to increase the number of students (U.S. citizens or permanent residents) receiving associate or baccalaureate degrees in established or emerging fields within science, technology, engineering, and mathematics (STEM). Type 1 proposals are solicited that provide for full implementation efforts at academic institutions. Type 2 proposals are solicited that support educational research projects on associate or baccalaureate degree attainment in STEM.</p>	<p>Institutions develop strategies to increase the number of STEM graduates so it is possible to include some SSI activities in the budget. Focus needs to be on STEM only.</p>	<p>SSI</p>
<p><b>The Institute of Education Sciences</b></p>			
<p><b>Unsolicited research grants</b></p>	<p>Considers unsolicited applications for research, evaluation, and statistics projects that would make significant contributions to the mission of the Institute. The Institute's mission is to expand fundamental knowledge and understanding of education and to provide education leaders and practitioners, parents and students, researchers, and the general public with unbiased, reliable, and useful information about the condition and progress of education in the United States; about education policies, programs, and practices that support learning and improve academic achievement and access to educational opportunities for all students; and about the effectiveness of Federal and other education programs. Unsolicited applications are defined as those that are not eligible for funding under the Institute's current (FY2013) grant competitions.</p>		

## ***Selected Private Foundations supporting Higher Education Initiatives***

<b>Foundation</b>	<b>Focus</b>	<b>Alignment with AHE</b>	<b>SSI or TPI</b>
<b>Kresge Foundation</b>	<p>We support networks of colleges, research organizations, and community-based organizations that work to remove those barriers to access and success. We are interested in efforts that have broad and meaningful impact beyond the boundaries of any one campus. Our goal is to fund innovative, sustainable programs that can easily be taken to a larger scale to foster - See more at:</p> <ul style="list-style-type: none"> <li><input type="checkbox"/> College readiness.</li> <li><input type="checkbox"/> Awareness of and access to financial aid.</li> <li><input type="checkbox"/> Research into and models for removing barriers to student success.</li> <li><input type="checkbox"/> Advocacy efforts for student success.</li> <li><input type="checkbox"/> Two- and four-year degree and certificate completion, and attainment of family-supporting wages.</li> <li><input type="checkbox"/> Innovations to add education capacity, such as online teaching.</li> <li><input type="checkbox"/> Technological improvements in teaching to enhance learning.</li> </ul>		
<b>Spencer Foundation</b>	<p>The Foundation's research grants are organized under four areas of inquiry that identify broad topics we believe have fundamental and abiding importance for educational improvement.</p> <p><a href="#"><u>The Relation Between Education and Social Opportunity</u></a>  <a href="#"><u>Organizational Learning in Schools, School Systems, and Higher Education Systems</u></a>  <a href="#"><u>Teaching, Learning, and Instructional Resources</u></a>  <a href="#"><u>Purposes and Values of Education</u></a></p> <p>We also welcome proposals that do not fit one of the four areas listed through our <a href="#"><u>Field-Initiated Proposal</u></a> program.</p>		
<b>Lumina Foundation</b>	<p>Lumina's outcomes-based approach focuses on helping to design and build an accessible, responsive and accountable higher education system while fostering a national sense of urgency for action to achieve Goal 2025. Our current funding is directed to advance the following strategies:</p> <ol style="list-style-type: none"> <li>1. Build a Goal 2025 Social Movement</li> </ol>	<p>Unsolicited proposals are accepted. System-wide approaches are preferred.</p>	

	<p>2. Mobilize Employers, Metro Areas, and Regions to Increase Attainment</p> <p>3. Mobilize Higher Education to Increase Student Success</p> <p>4. Advance State Policy for Increased Attainment</p> <p>5. Advance Federal Policy for Increased Attainment</p> <p>6. Create New Models of Student Financial Support</p> <p>7. Design New Higher Education Business and Finance Models</p> <p>8. Create New Systems of Quality Credentials</p>		
<b>Ford Foundation</b>	<p>Initiatives</p> <ul style="list-style-type: none"> <li>• <a href="#">Transforming Secondary Education</a></li> <li>• <a href="#">Higher Education for Social Justice</a></li> <li>• <a href="#">More and Better Learning Time</a></li> </ul> <p>Their work seeks to generate policy and institutional reforms that improve standards of teaching and learning and that remove the barriers to successful participation in higher education.</p>	One of the goals/strategies is “Scaling up effective academic support programs.”	SSI
<b>TG Philanthropy</b>	<p>TG intends that the primary beneficiaries of the proposed programs be students who are from low- to moderate-income families. In addition, the competitive process favors proposals that also aim to serve the needs of first-generation college students or students who are from groups that are traditionally underrepresented in undergraduate and graduate education, which may include nontraditional or adult learners.</p>	Grants for Direct Impact and Organizational Impact and Research	SSI and TPI